

TRANSMITTAL
FORM

MAY 12 2005

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/528,640

Filing Date

October 2, 2003

First Named Inventor

Becker

Art Unit

Not Yet Assigned

Examiner Name

Not Yet Assigned

Attorney Docket Number

ASZN0019-100 (100848-1P US)

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/
Incomplete Application☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☒ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):

- Table A

- Statement Under 37 CFR 3.73(b)

- executed Assignment

- Return Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Cozen O'Connor, P.C.

Signature

Printed Name

Paul K. Legaard

Date

10 MAY 2005

Reg.
No.

38,534

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

Paul K. Legaard

Date

10 MAY 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TABLE A

Our Ref. AstraZeneca Ref.	Serial No. Filing Date	First Inventor	Examiner Art Unit	Title
ASZN0005-100 100368-1P US	10/477,851 5/16/02	Brown	Rita J. Desai 1625	4-(phenyl-piperidin-4-ylidene-methyl)-benzamide Derivatives And Their Use For The Treatment Of Pain, Anxiety Or Gastrointestinal Disorders
ASZN0005-101 100369-1P US	10/477,854 5/16/02	Brown	Celia C. Chang 1625	4-(phenyl-piperidin-4-ylidene-methyl)-benzamide Derivatives And Their Use For The Treatment Of Pain, Anxiety Or Gastrointestinal Disorders
ASZN0005-102 100372-1P US	10/477,853 5/16/02	Brown	Rita J. Desai 1625	4-(Phenyl-(piperidin-4-yl)-amino)-benzamide Derivatives And Their Use For The Treatment Of Pain, Anxiety Or Gastrointestinal Disorders
ASZN0005-103 100373-1P US	10/477,633 5/16/02	Brown	Rita J. Desai 1625	4-(phenyl-(piperidin-4-yl)-amino)-benzamide Derivatives And Their Use For The Treatment Of Pain, Anxiety Or Gastrointestinal Disorders
ASZN0005-104 100375-1P US	10/477,636 5/16/02	Brown	Rita J. Desai 1625	4-(phenyl-(piperidin-4-yl)-amino)-benzamide Derivatives And Their Use For The Treatment Of Pain, Anxiety Or Gastrointestinal Disorders
ASZN0006-100 100514-1P US	10/497,565 12/11/02	Leung	Not Yet Assigned	Benzodiazepine Derivatives, Preparation Thereof And Use Thereof
ASZN0007-100 100590-1P US	10/498,864 12/17/02	Cheng	Not Yet Assigned	Therapeutic Heterocycles
ASZN0008-100 100100-1P US	10/333,669 1/21/03	Patel	Raymond K. Covington 1625	Process For Asymmetric Synthesis Of Substituted 1,4-Dihydropyridines
ASZN0009-100 100102-1P US	10/333,654 9/5/03	Patel	Alton N. Pryor 1616	Process For Synthesis Of Alpha,Beta-unsaturated Ketones

ASZN0010-100 100541-1P US	10/494,387 4/30/04	Bialecki	Raymond J. Henley III 1614	Method For The Treatment Of Overactive Bladder
ASZN0010-101 100542-1P US	10/494,195 11/1/02	Bialecki	Not Yet Assigned	Compounds And Method For The Treatment Of Overactive Bladder
ASZN0011-100 100570-1P US	10/502,191 1/23/03	Jones	D. Margaret M. Seaman 1625	Method
ASZN0012-100 100186-1P US	10/415,853 11/3/03	Chapdelaine	Not Yet Assigned 1614	N-type Calcium Channel Antagonists For The Treatment Of Pain
ASZN0012-101 100463-1P US	10/488,066 8/9/04	D'Amico	D. Margaret M. Seaman 1625	N-type Calcium Channel Antagonists For The Treatment Of Pain
ASZN0012-102 100464-1P US	10/488,025 8/23/02	D'Amico	Not Yet Assigned	N-type Calcium Channel Antagonists For The Treatment Of Pain
ASZN0013-100 Z70624-1P US	10/168,758 11/25/02	Chapdelaine	Maury A. Audet 1654	Cd45 Inhibitors
ASZN0013-101 Z70626-1P US	10/168,477 11/25/02	Chapdelaine	Kevin Weddington 1614	Cd45 Inhibitors
ASZN0014-100 100121-1P US	10/333,658 7/31/01	Bernstein	Shailendra Kumar 1621	N-(2-phenyl-3-aminopropyl)naphthamides
ASZN0015-100 100174-1P US	10/381,755 3/26/03	Bernstein	Bruck Kifle 1624	Cyclized Benzamide Neurokinin Antagonists For Use Therapy
ASZN0016-100 100237-1P US	10/450,284 12/20/01	Shenvi	Celia C. Chang 1625	Compounds

ASZN0017-100 Z70402-1P US	09/806,657 10/4/99	Bernstein	Not Yet Assigned	Naphthalenecarboxamides As Tachykinin Receptor Antagonists
ASZN0018-100 100003-2P US	11/042,752 1/24/05	Albert	Not Yet Assigned	Neurokinin Antagonists For Use As Medicaments
ASZN0019-100 100848-1P US	10/528,640 10/2/03	Becker	Not Yet Assigned	Novel Lactams And Uses Thereof



Rec'd PCT/PTO 12 MAY 2005

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

STATEMENT UNDER 37 CFR 3.73(b)

Applicant, **AstraZeneca AB**, a corporation, states that it is:

☒ the assignee of the entire right, title, and interest of the applications identified in Table A attached hereto.

The extent (by, percentage) of its ownership interest is **100%** in the patent applications identified in Table A by virtue of:

☒ a copy of the pertinent assignment from the inventor(s) of the patent applications identified in Table A is enclosed herewith.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Respectfully submitted,
ASTRAZENECA AB

Date: 13 April 2005

By: Christer Wahlström

Name: Christer Wahlström

Title: Director Patent Operations



Rec'd PTO 12 MAY 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**POWER OF ATTORNEY WITH REVOCATION AND
CHANGE OF CORRESPONDENCE ADDRESS**

I hereby revoke all previous powers of attorney or authorizations of agent given in the applications identified in Table A attached hereto, and appoint

☒ Practitioners at Customer Number: 52286

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the Correspondence Address for all applications identified in Table

A to:

☒ Practitioners at Customer Number: 52286

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. (A statement under 37 CFR 3.73(b) is enclosed.)

Respectfully submitted,
ASTRAZENECA AB

Date: 13 April 2005

By: Christer Wahlström

Name: Christer Wahlström

Title: Director Patent Operations

ATTORNEY DOCKET NO.:
AstraZeneca Ref.: 100848-1P US

ASSIGNMENT

Now, therefore, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, I/we

Christopher BECKER, Bruce DEMBOFSKY, Robert JACOBS, James KANG, Cyrus OHNMACHT, James ROSAMOND, Ashokkumar Bhikkappa SHENVI, Thomas SIMPSON and James WOODS all of AstraZeneca Wilmington, P.O. Box 15437, Wilmington, DE 19850-5437, USA

hereafter referred to as ASSIGNOR (S),

Hereby sell(s), assign(s) and transfer(s) to ASSIGNEE AstraZeneca AB, a company created and existing under the laws of Sweden, having its Head Office at SE-151 85 Södertälje, Sweden, and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions, and in all foreign countries, in and to the inventions and any and all improvements thereon which are the subject of an application entitled:

NOVEL LACTAMS AND USES THEREOF

And which is disclosed in:

- (a) ☐ U.S. patent application executed on even date herewith;
- (b) ☐ U.S. patent application executed on _____;
- (c) ☐ U.S. application no. _____ filed on _____;
- (d) ☒ International application no. PCT/SE2003/001534 filed on 2 October 2003.

And in and to the above application and any legal equivalent thereof in a foreign country, including the right to claim priority, and in and to any division, continuation or continuation-in-part, renewal or substitute thereof, and in and to all resulting Letters Patents or any reissue or reexamination thereof, to have and to hold the same to the full end of the term or terms for which any and all of said Letters Patent may be granted;

ASSIGNOR(S) authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said ASSIGNEE or its successors and assigns;

ASSIGNOR(S) hereby covenant(s) that ASSIGNOR(S) has/have the full power to make this assignment, and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; and

Without further payment or compensation by the ASSIGNEE or its successors and assigns, ASSIGNOR(S) further covenant(s) and agree(s) to communicate to said ASSIGNEE or its representatives, agents, its successors or assigns, any facts relating to said invention or inventions including evidence for purposes of interference or other administrative or legal proceedings whenever requested; to testify in any interference, legal or administrative proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said ASSIGNEE, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment any further information necessary for recordation of this document.

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

Christopher Becker 3/14/05
 Christopher BECKER
 Signature of Assignor Date of Assignor's Signature

WITNESSED BY:

Elizabeth Ashton Frances Toukaldjian
 Signature of Witness Signature of Witness
 Name of Witness Elizabeth Ashton Name of Witness FRANCES TOUKALDIAN
 (Type or print clearly) (Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

Bruce Dembofsky 2/28/2005
 Bruce DEMBOFSKY
 Signature of Assignor Date of Assignor's Signature

WITNESSED BY:

Elizabeth Ashton Frances Toukaldjian
 Signature of Witness Signature of Witness
 Name of Witness Elizabeth Ashton Name of Witness FRANCES TOUKALDIAN
 (Type or print clearly) (Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

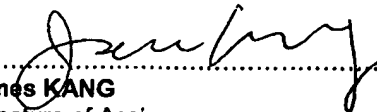
Robert Jacobs 3/7/2005
 Robert JACOBS
 Signature of Assignor Date of Assignor's Signature

WITNESSED BY:

Elizabeth Ashton Frances Toukaldjian
 Signature of Witness Signature of Witness
 Name of Witness Elizabeth Ashton Name of Witness FRANCES TOUKALDIAN
 (Type or print clearly) (Type or print clearly)


Additional signature pages attached: ☒ Yes ☐ No

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.


 3-7-05
 James KANG
 Signature of Assignor Date of Assignor's Signature


WITNESSED BY:

Elizabeth Ashton
 Signature of Witness
 Name of Witness Elizabeth Ashton
 (Type or print clearly)




 Signature of Witness
 Name of Witness FRAN TOUKALDIAN
 (Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.


 2/28/05
 Cyrus OHNMACHT
 Signature of Assignor Date of Assignor's Signature


WITNESSED BY:

Elizabeth Ashton
 Signature of Witness
 Name of Witness Elizabeth Ashton
 (Type or print clearly)




 Signature of Witness
 Name of Witness FRAN TOUKALDIAN
 (Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.


 2/27/05
 James ROSAMOND
 Signature of Assignor Date of Assignor's Signature

WITNESSED BY:

Elizabeth Ashton
 Signature of Witness
 Name of Witness Elizabeth Ashton
 (Type or print clearly)



 Signature of Witness
 Name of Witness FRAN TOUKALDIAN
 (Type or print clearly)

Additional signature pages attached: ☒ Yes ☐ No

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

Ashokkumar Bhikkappa SHENVI
 Ashokkumar Bhikkappa SHENVI
 Signature of Assignor

3/24/05
 Date of Assignor's Signature

WITNESSED BY:

Elizabeth Ashton
 Signature of Witness
 Name of Witness Elizabeth Ashton
 (Type or print clearly)

FRAN TOUTKALSIAN
 Signature of Witness
 Name of Witness FRAN TOUTKALSIAN
 (Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

Thomas SIMPSON
 Thomas SIMPSON
 Signature of Assignor

2/25/05
 Date of Assignor's Signature

WITNESSED BY:

Elizabeth Ashton
 Signature of Witness
 Name of Witness Elizabeth Ashton
 (Type or print clearly)

FRANCES TOUTKALSIAN
 Signature of Witness
 Name of Witness FRANCES TOUTKALSIAN
 (Type or print clearly)

IN WITNESS WHEREOF, I have hereunto set my hand and seal on the date below written.

James WOODS
 James WOODS
 Signature of Assignor

2/25/05
 Date of Assignor's Signature

WITNESSED BY:

Elizabeth Ashton
 Signature of Witness
 Name of Witness Elizabeth Ashton
 (Type or print clearly)

FRANCES TOUTKALSIAN
 Signature of Witness
 Name of Witness FRANCES TOUTKALSIAN
 (Type or print clearly)

Additional signature pages attached: ☐ Yes ☒ No